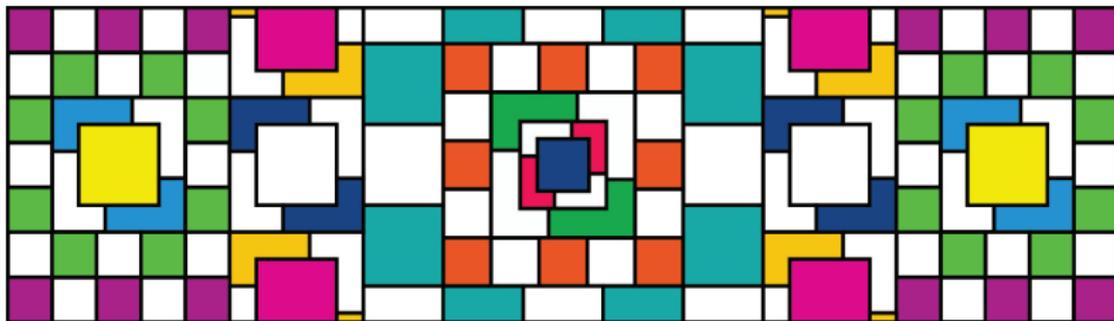


# Substitutions, Tilings and Partitions

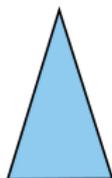
Yotam Smilansky, Hebrew University of Jerusalem

Department of Mathematics Undergraduate Colloquium, University of Houston



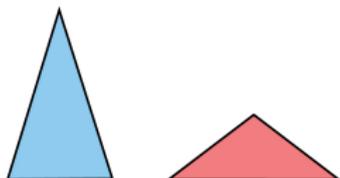
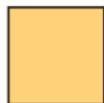
## Tiles and tilings

A tile in  $\mathbb{R}^d$  is simply a nice set, such as a polygon:

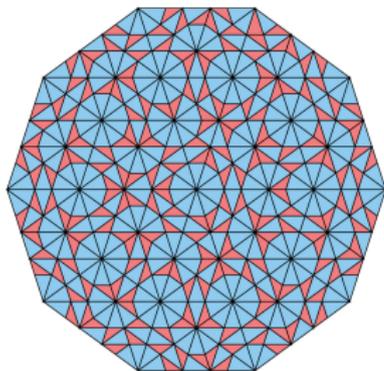
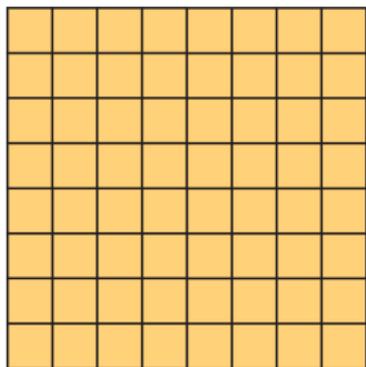


## Tiles and tilings

A tile in  $\mathbb{R}^d$  is simply a nice set, such as a polygon:

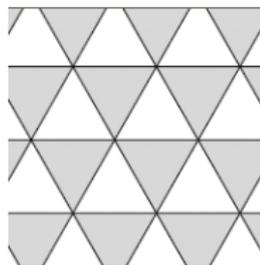
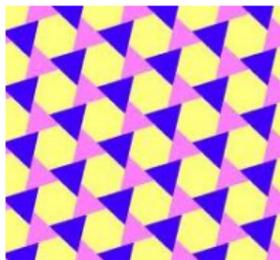
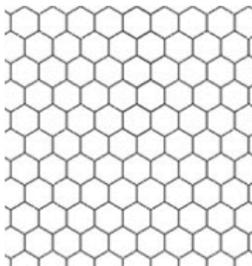
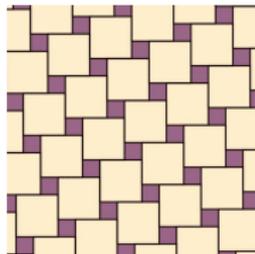
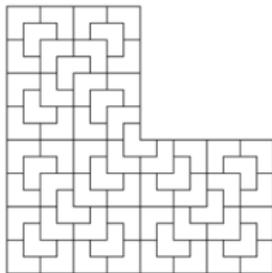


A tiling is a union of tiles which covers  $\mathbb{R}^d$ , and different tiles can intersect only at the boundaries:



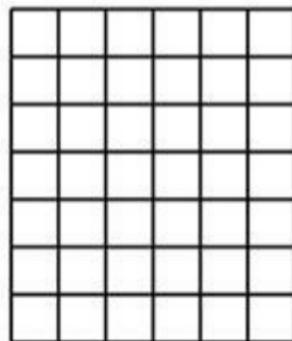
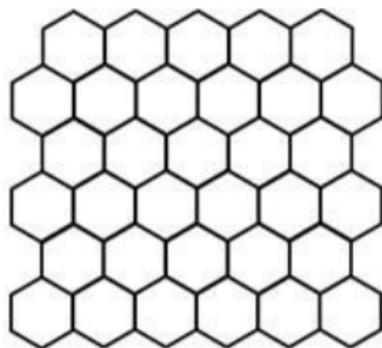
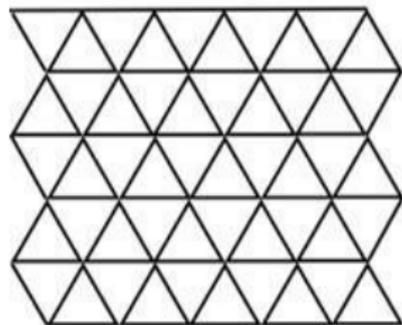
# More tilings

Tilings with finitely many tiles up to translations

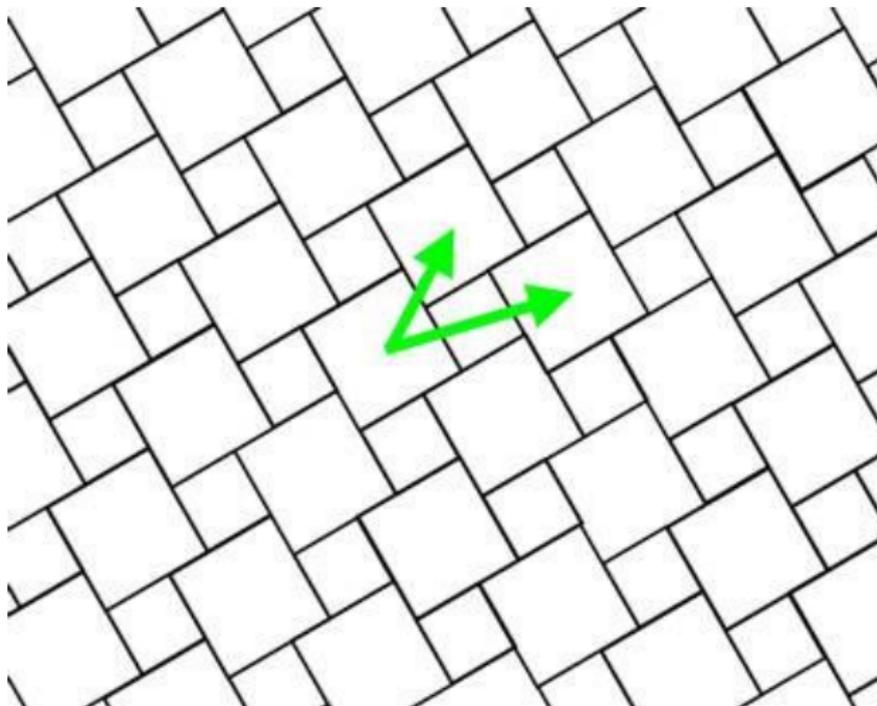


## Periods

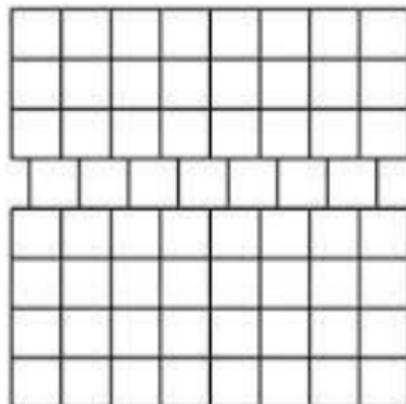
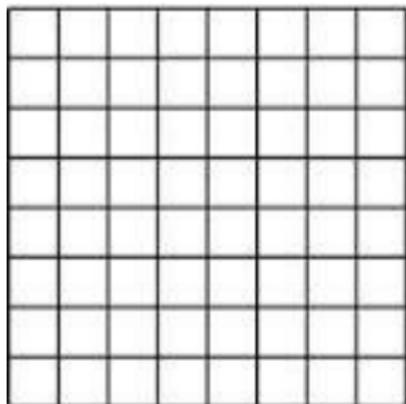
A period is a vector  $v \in \mathbb{R}^d$  such that  $\tau + v = \tau$



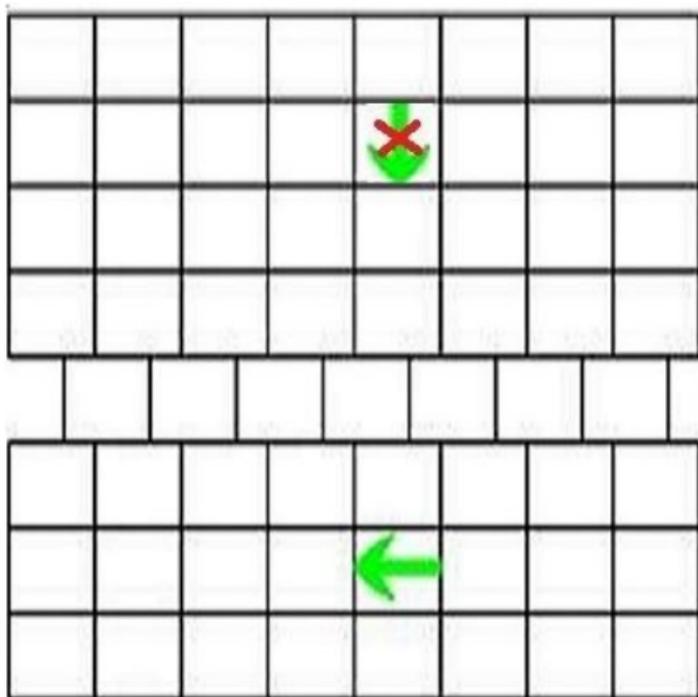
## Periods



## Shifting a row in the grid



We now have periods in one direction only



## Non-periodicity

A tiling in  $\mathbb{R}^d$  is called periodic or strongly periodic if there exist  $d$  linearly independent periods.

## Non-periodicity

A tiling in  $\mathbb{R}^d$  is called periodic or strongly periodic if there exist  $d$  linearly independent periods.

In the first examples there were periods in 2 directions in  $\mathbb{R}^2$  and so the tilings are periodic.

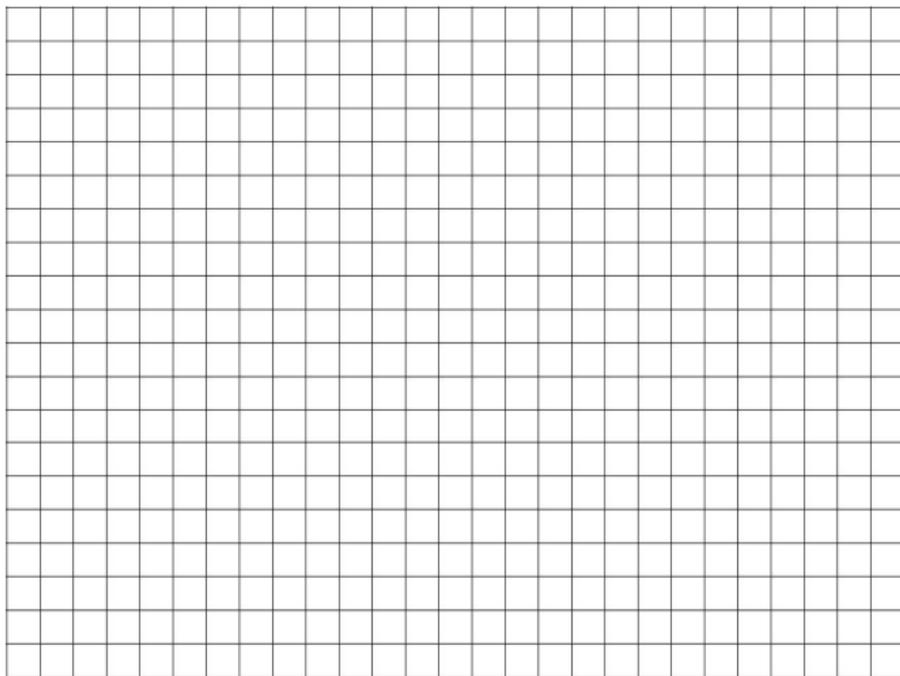
## Non-periodicity

A tiling in  $\mathbb{R}^d$  is called periodic or strongly periodic if there exist  $d$  linearly independent periods.

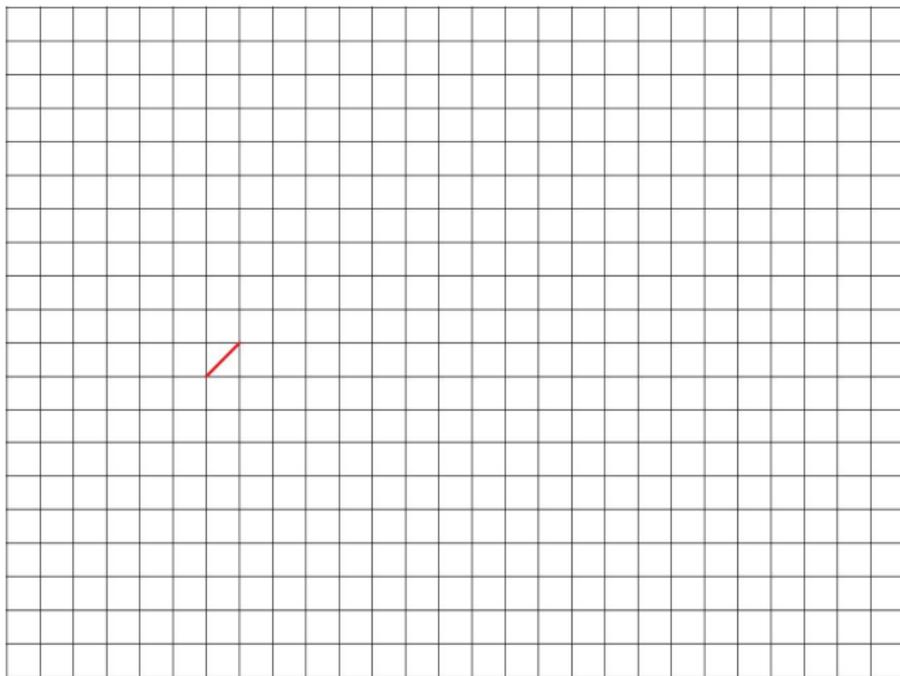
In the first examples there were periods in 2 directions in  $\mathbb{R}^2$  and so the tilings are periodic.

It is called non-periodic if there are no periods.

## Silly example - local symmetry break



## Silly example - local symmetry break



## Interesting questions

Are there tilings which are non-periodic but have no local symmetry break?

That is - can a tiling that “looks the same” everywhere, namely does not exist a place which by looking at a local neighborhood you can say exactly where you are, be non periodic?

## Interesting questions

Are there tilings which are non-periodic but have no local symmetry break?

That is - can a tiling that “looks the same” everywhere, namely does not exist a place which by looking at a local neighborhood you can say exactly where you are, be non periodic?

[Such tilings are called repetitive: for every  $r > 0$  there exists  $R > 0$  so that every ball of radius  $R$  contains all patterns that can be seen in a ball of radius  $r$ ]

## Interesting questions

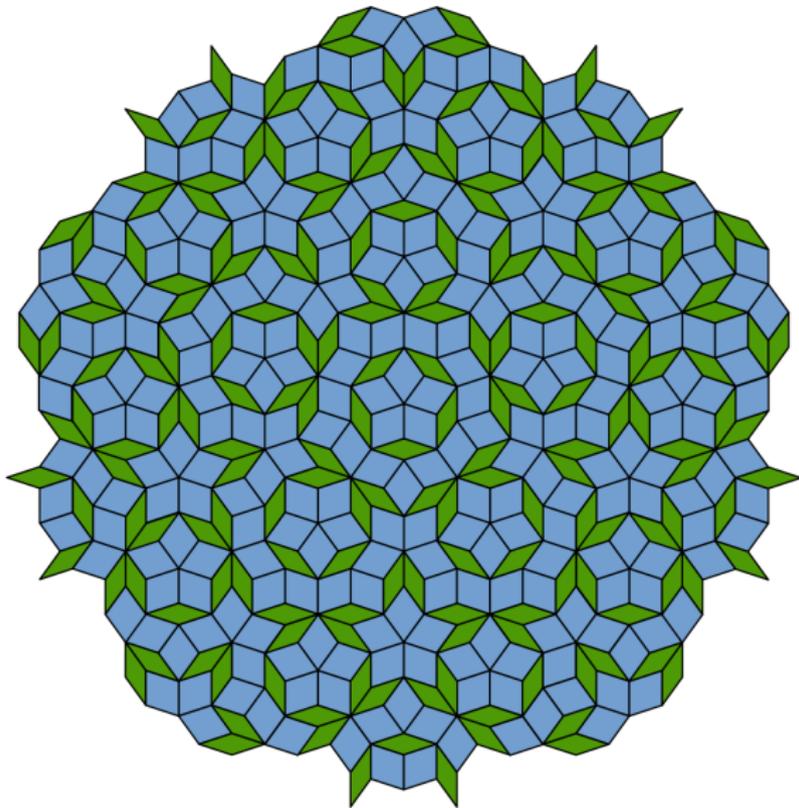
Are there tilings which are non-periodic but have no local symmetry break?

That is - can a tiling that “looks the same” everywhere, namely does not exist a place which by looking at a local neighborhood you can say exactly where you are, be non periodic?

[Such tilings are called repetitive: for every  $r > 0$  there exists  $R > 0$  so that every ball of radius  $R$  contains all patterns that can be seen in a ball of radius  $r$ ]

Is there a finite set of tiles that can tile  $\mathbb{R}^d$  **only** in non-periodically?

Penrose says YES!!!



And he should know



## More interesting floors



## More interesting floors

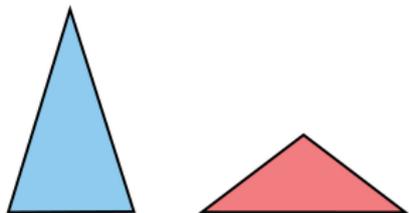


## More interesting floors

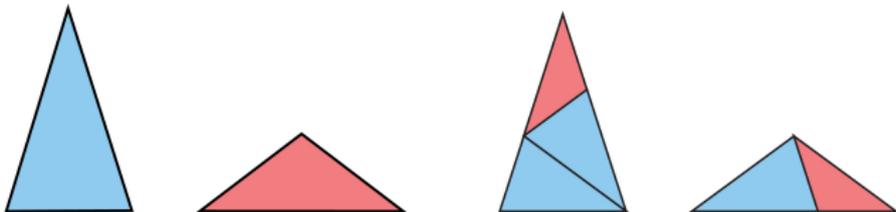


# Substitution tilings

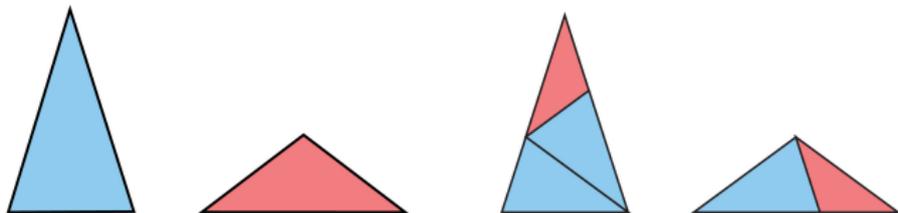
## Substitution tilings



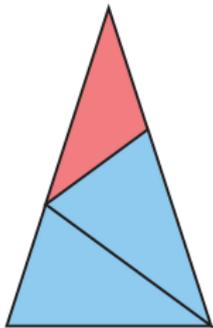
# Substitution tilings



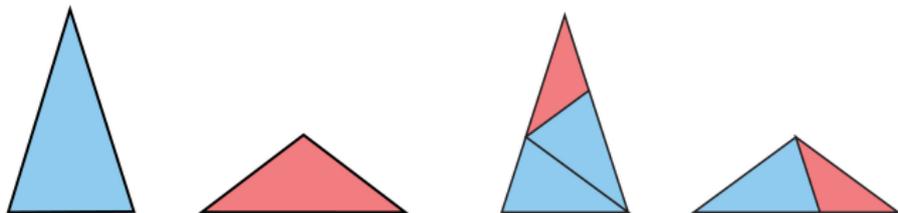
# Substitution tilings



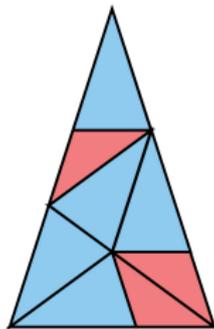
After the substitution - inflate



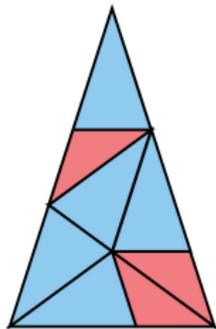
## Substitution tilings



After the substitution - inflate, and substitute again...

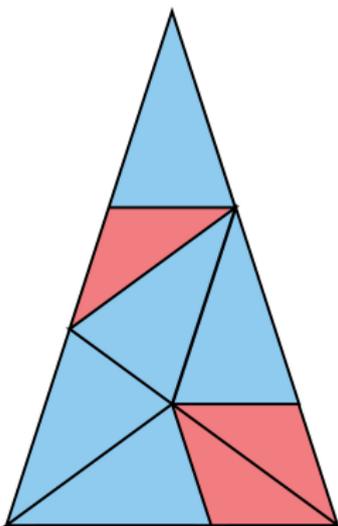


# Substitution tilings



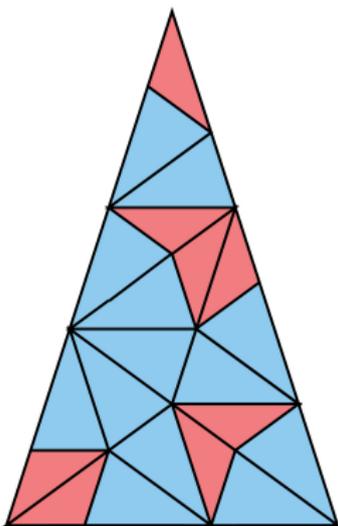
# Substitution tilings

... and inflate



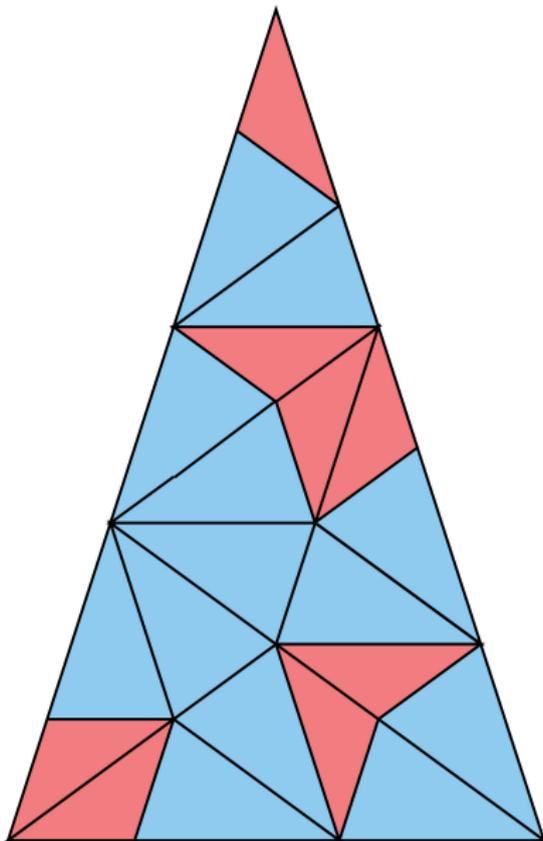
## Substitution tilings

... and inflate, and substitute again



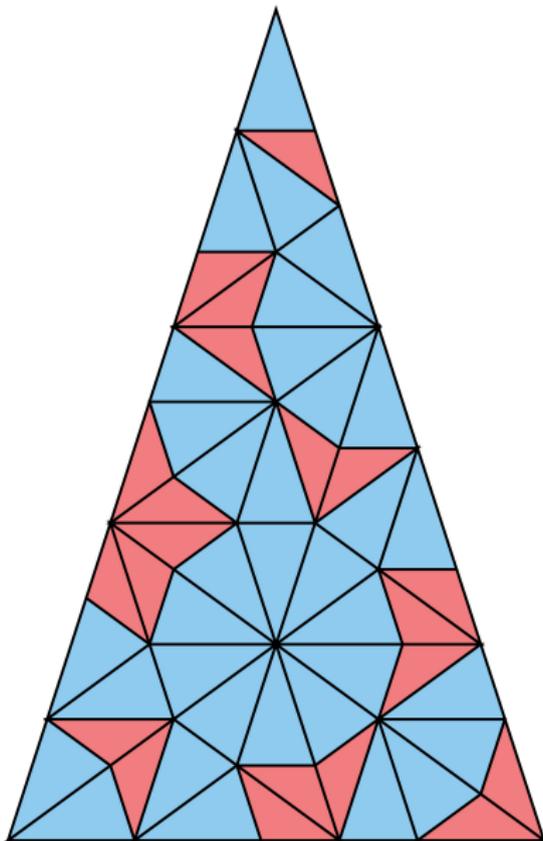
## Substitution tilings

... and inflate, and substitute again... and inflate



## Substitution tilings

... and inflate, and substitute again... and inflate... and substitute



## Substitution tilings

The substitution and inflation process gives to tilings of larger and larger domains.

## Substitution tilings

The substitution and inflation process gives to tilings of larger and larger domains.

These can be used to defined infinite tilings of  $\mathbb{R}^d$  (for example using compactness arguments, infinite graph theory, existence of fixed points under the substitution inflation process)

## Substitution tilings

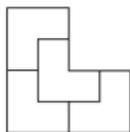
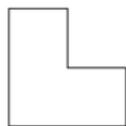
The substitution and inflation process gives to tilings of larger and larger domains.

These can be used to defined infinite tilings of  $\mathbb{R}^d$  (for example using compactness arguments, infinite graph theory, existence of fixed points under the substitution inflation process)

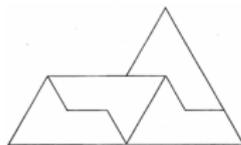
In any case in any such tiling every pattern which appears is a translation of a sub-pattern of one of the tilings of the finite domains described in the process.

# More examples of substitutions

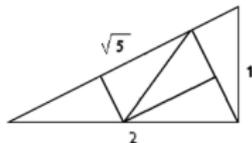
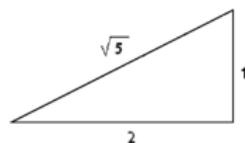
Chair tiling



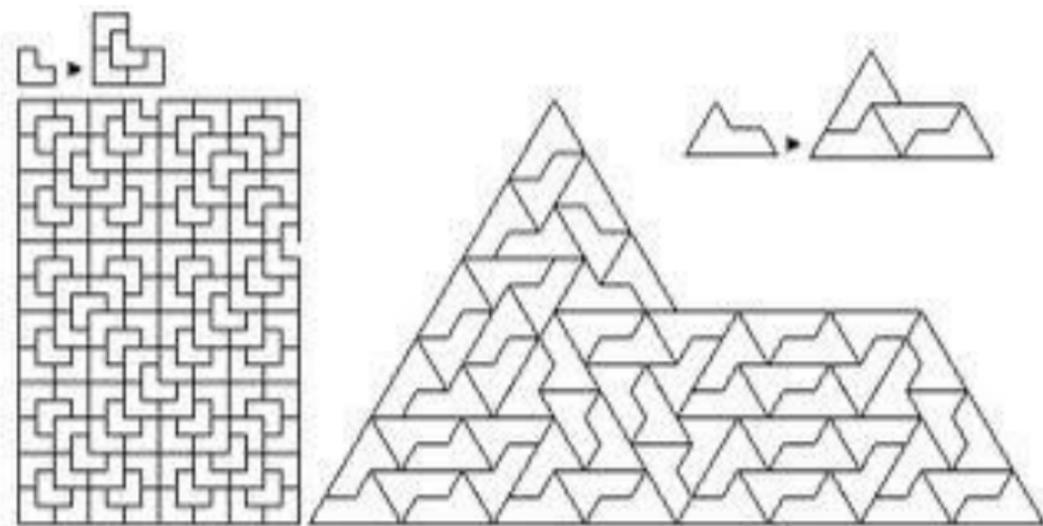
Sphinx tiling



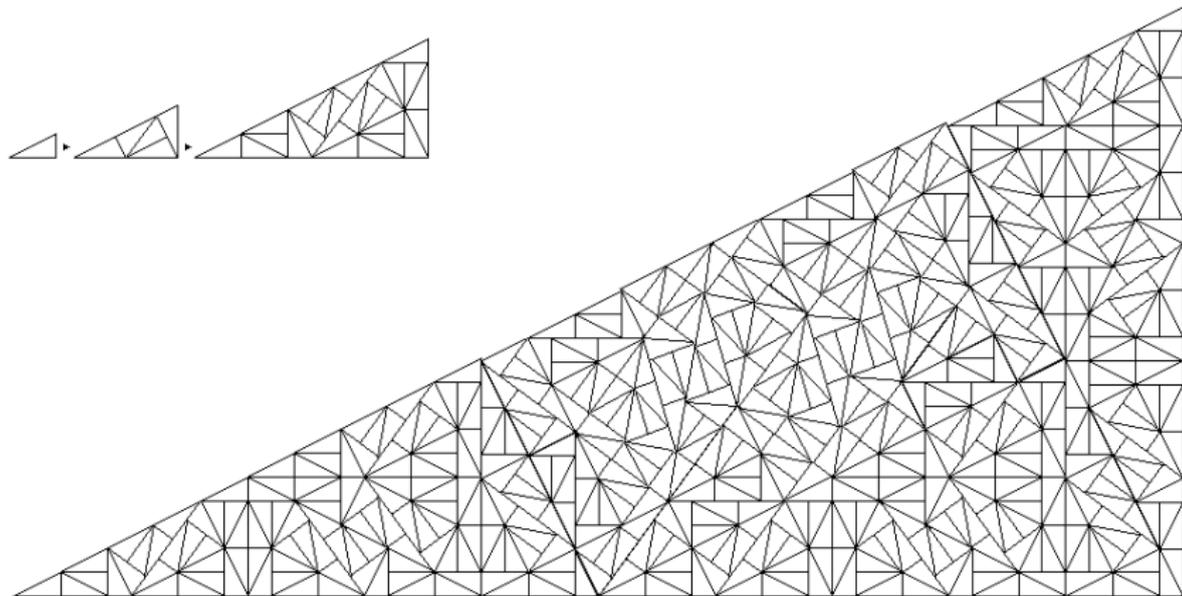
The Pinwheel tiling



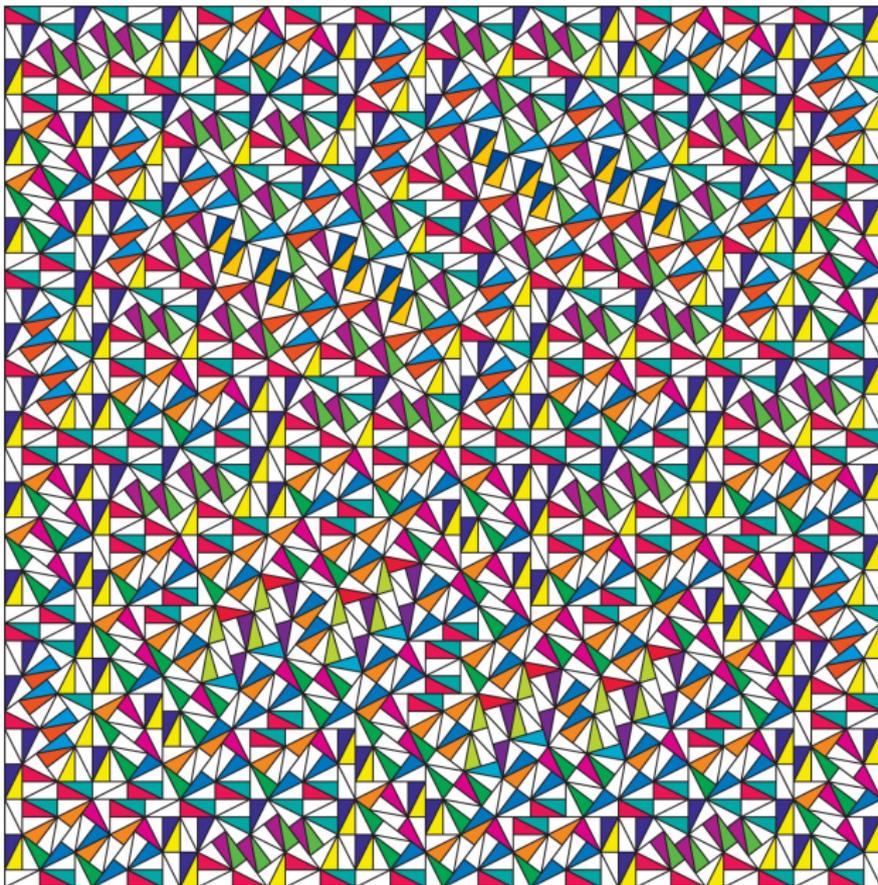
and their tilings



and their tilings



and their tilings

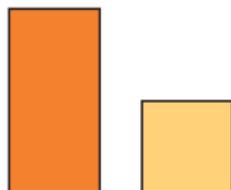


## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule

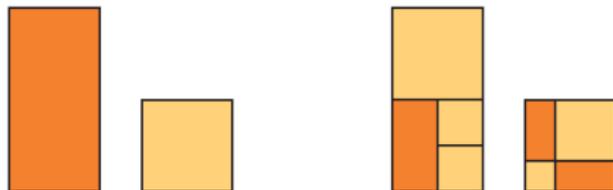
## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



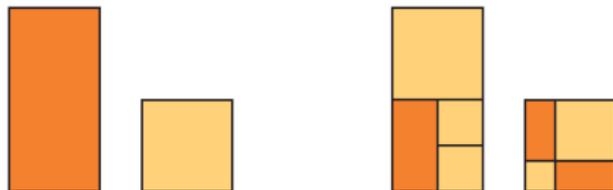
## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule

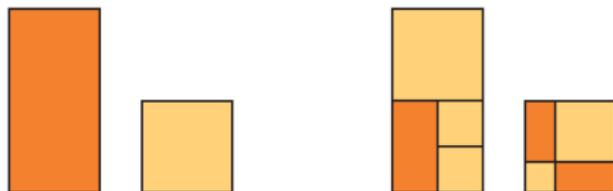


These schemes can define sequences of partitions:

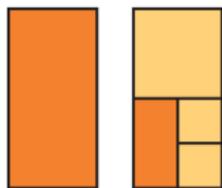


## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



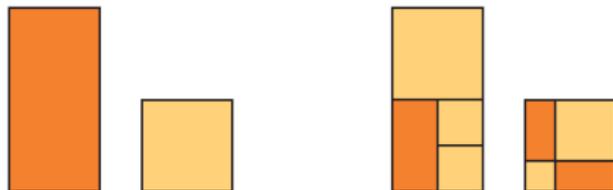
These schemes can define sequences of partitions:



Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



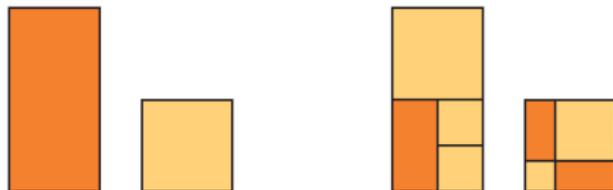
These schemes can define sequences of partitions:



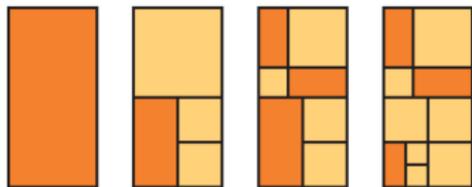
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



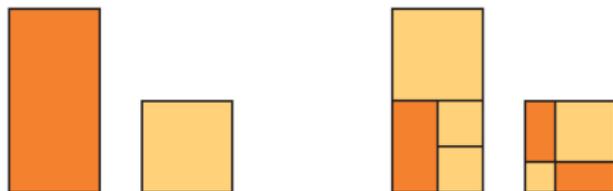
These schemes can define sequences of partitions:



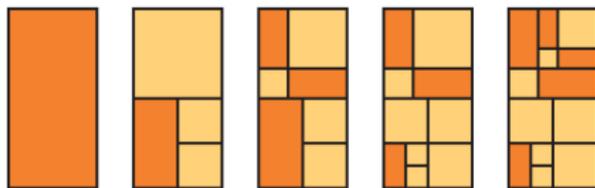
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



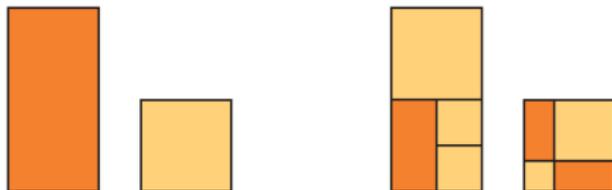
These schemes can define sequences of partitions:



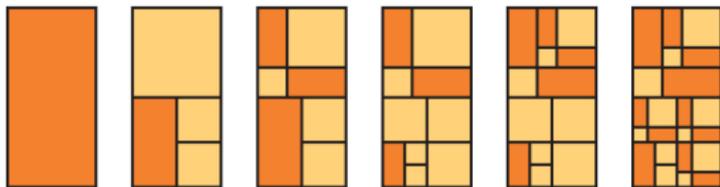
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



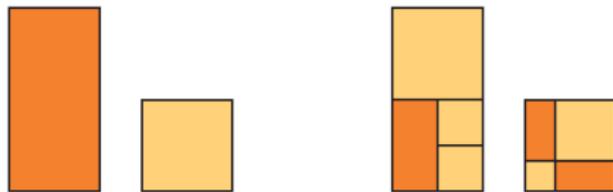
These schemes can define sequences of partitions:



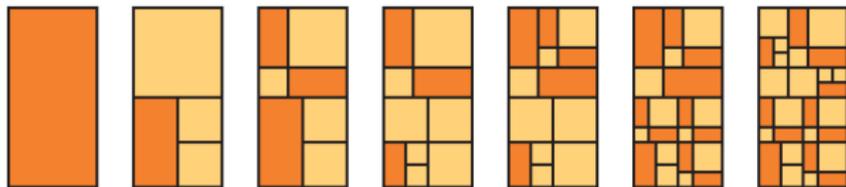
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



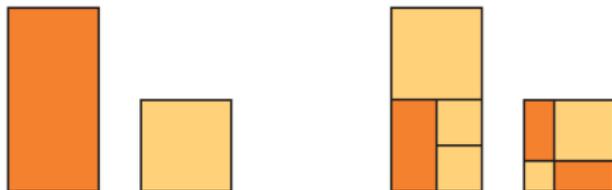
These schemes can define sequences of partitions:



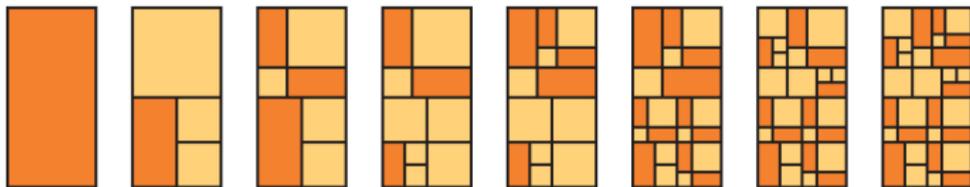
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



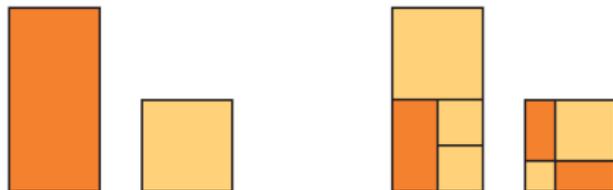
These schemes can define sequences of partitions:



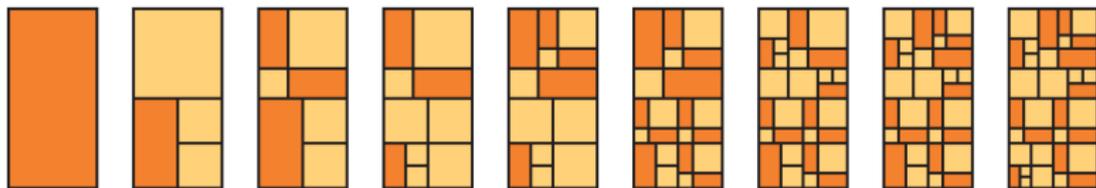
Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

## Multiscale substitution schemes

We can expand our definitions, and allow more than one scale to appear in the substitution rule



These schemes can define sequences of partitions:



Partition  $\pi_m$  is defined by substituting all the tiles of maximal volume in  $\pi_{m-1}$  according to the substitution rule.

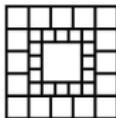
They can define tilings



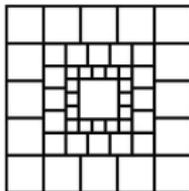
They can define tilings



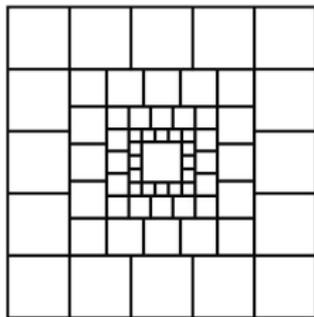
They can define tilings



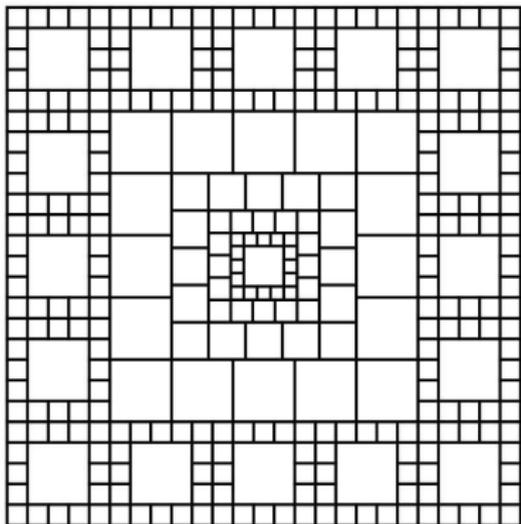
They can define tilings



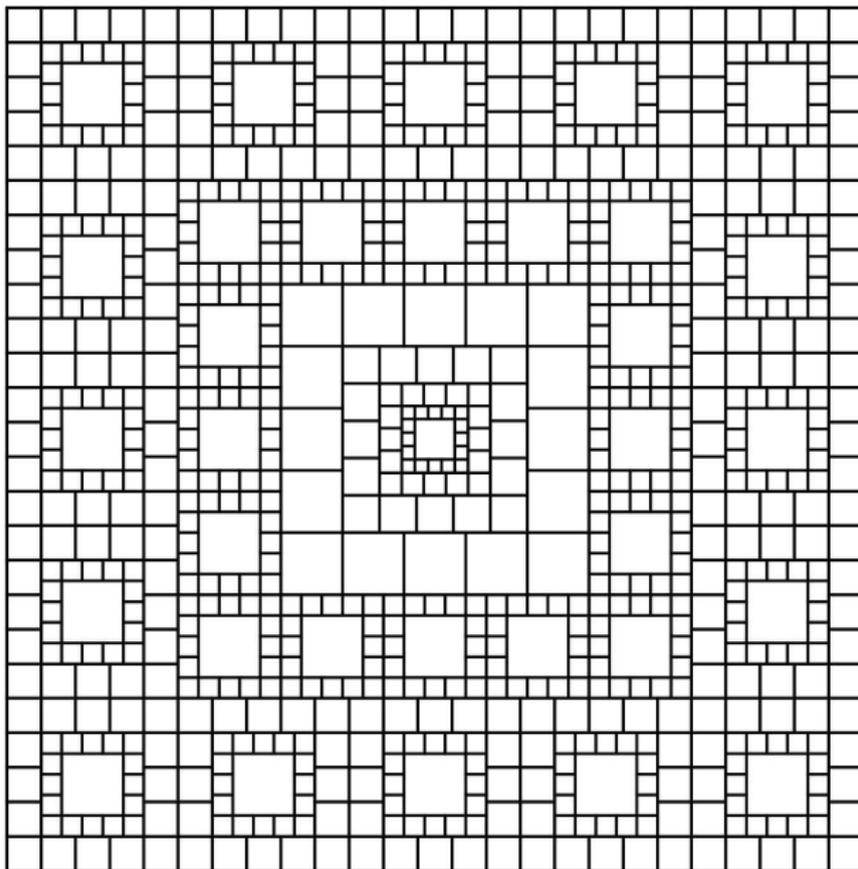
They can define tilings



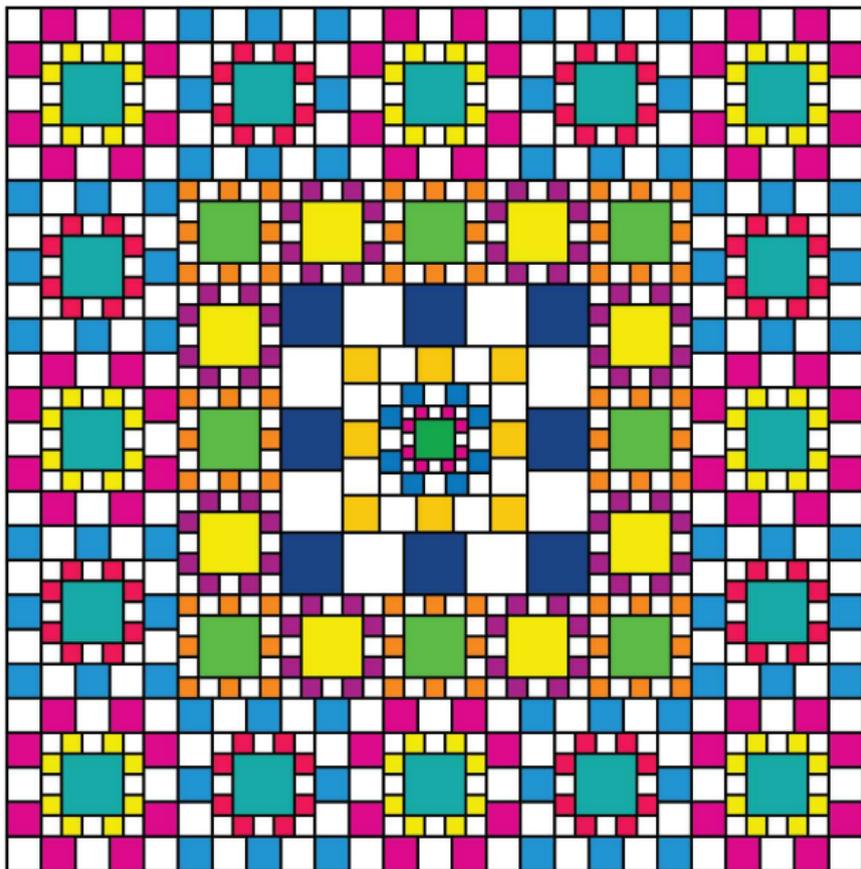
They can define tilings



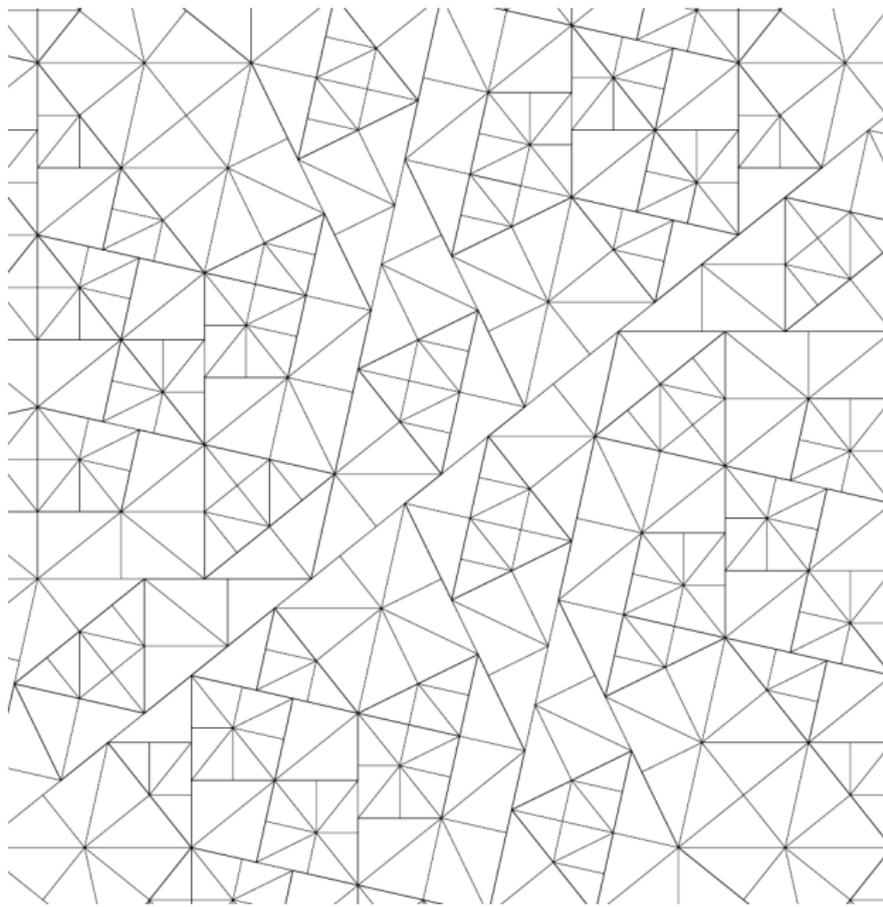
They can define tilings



They can define tilings



They can define tilings



Sometimes they can define fractals



Scales are  $\tau$ ,  $\tau^2$ ,  $\tau^3$ , where  $\tau + \tau^2 + \tau^3 = 1$ .

## Kakutani sequences

The scheme illustrated by



generates two very different sequences of partitions.

## Kakutani sequences

The scheme illustrated by



generates two very different sequences of partitions.

The first is by recurrent substitutions of all intervals simultaneously



# Kakutani sequences

The scheme illustrated by



generates two very different sequences of partitions.

The first is by recurrent substitutions of all intervals simultaneously



The second is by always substituting only intervals of maximal length



## Kakutani sequences

The scheme illustrated by



generates two very different sequences of partitions.

The first is by recurrent substitutions of all intervals simultaneously



The second is by always substituting only intervals of maximal length



The first sequence is not nicely distributed, but the second one is (this is not a trivial fact..)

## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist?

## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist?
2. Does the limit of  $\text{Length}(\cup \{\text{Red}\})$  exist?

## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist?
2. Does the limit of  $\text{Length}(\cup \{\text{Red}\})$  exist?
3. In case the limits exist, are they necessarily the same?

## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist? Yes! It's  $\frac{2}{3}$ .
2. Does the limit of  $\text{Length}(\cup \{\text{Red}\})$  exist?
3. In case the limits exist, are they necessarily the same?

## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist? Yes! It's  $\frac{2}{3}$ .
2. Does the limit of  $\text{Length}(\cup \{\text{Red}\})$  exist? Yes!  $\frac{\frac{1}{3} \log \frac{1}{3}}{\frac{1}{3} \log \frac{1}{3} + \frac{2}{3} \log \frac{2}{3}}$ .
3. In case the limits exist, are they necessarily the same?

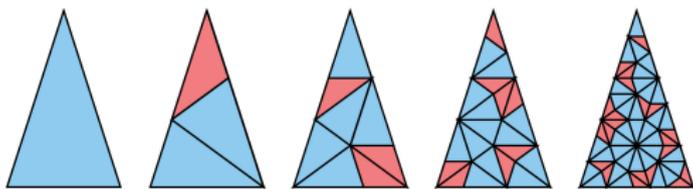
## A nice question

In the  $\frac{1}{3}$ -Kakutani sequence, whenever a partition is made, color the shorter new interval red and the longer new interval blue:



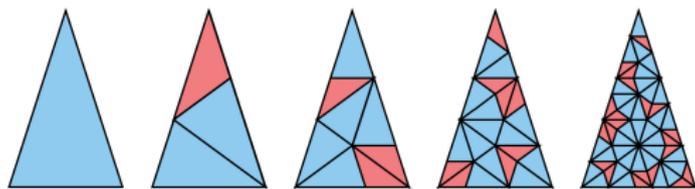
1. Does the limit of  $\frac{|\text{Number of red intervals}|}{|\text{Total number of intervals}|}$  exist? Yes! It's  $\frac{2}{3}$ .
2. Does the limit of  $\text{Length}(\cup \{\text{Red}\})$  exist? Yes!  $\frac{\frac{1}{3} \log \frac{1}{3}}{\frac{1}{3} \log \frac{1}{3} + \frac{2}{3} \log \frac{2}{3}}$ .
3. In case the limits exist, are they necessarily the same? No!

## Substitution matrix



Let  $a_n$ ,  $b_n$  be the number of blue and red triangles in the  $n$ th iteration.

## Substitution matrix

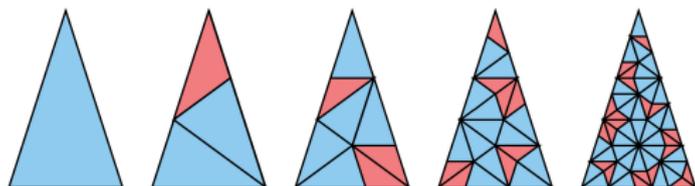


Let  $a_n$ ,  $b_n$  be the number of blue and red triangles in the  $n$ th iteration.

$$\begin{pmatrix} a_0 \\ b_0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = \begin{pmatrix} 5 \\ 3 \end{pmatrix}$$

$$\begin{pmatrix} a_3 \\ b_3 \end{pmatrix} = \begin{pmatrix} 8 \\ 5 \end{pmatrix}, \quad \begin{pmatrix} a_4 \\ b_4 \end{pmatrix} = \begin{pmatrix} 13 \\ 8 \end{pmatrix}, \quad \dots$$

## Substitution matrix



Let  $a_n$ ,  $b_n$  be the number of blue and red triangles in the  $n$ th iteration.

$$\begin{pmatrix} a_0 \\ b_0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \begin{pmatrix} a_1 \\ b_1 \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \quad \begin{pmatrix} a_2 \\ b_2 \end{pmatrix} = \begin{pmatrix} 5 \\ 3 \end{pmatrix}$$

$$\begin{pmatrix} a_3 \\ b_3 \end{pmatrix} = \begin{pmatrix} 8 \\ 5 \end{pmatrix}, \quad \begin{pmatrix} a_4 \\ b_4 \end{pmatrix} = \begin{pmatrix} 13 \\ 8 \end{pmatrix}, \quad \dots$$

So  $\begin{pmatrix} a_n \\ b_n \end{pmatrix} = \begin{pmatrix} F_{n+1} \\ F_n \end{pmatrix}$ , where  $F_n$  is the Fibonacci sequence  
 $F_n = 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, \dots!$

## Ratio between types of tiles

Now if there were periods in two different directions, then by counting how many tiles of each type there are in the parallelogram they span we would be able to compute the ratio between the number of blue triangles and red triangles.

## Ratio between types of tiles

Now if there were periods in two different directions, then by counting how many tiles of each type there are in the parallelogram they span we would be able to compute the ratio between the number of blue triangles and red triangles.

It follows that

$$\frac{a_n}{b_n} \rightarrow c \in \mathbb{Q}.$$

## Ratio between types of tiles

Now if there were periods in two different directions, then by counting how many tiles of each type there are in the parallelogram they span we would be able to compute the ratio between the number of blue triangles and red triangles.

It follows that

$$\frac{a_n}{b_n} \rightarrow c \in \mathbb{Q}.$$

But the ratio  $\frac{F_{n+1}}{F_n}$  tends to the golden ratio  $\varphi = \frac{1+\sqrt{5}}{2}$ , and so

$$\frac{a_n}{b_n} = \frac{F_{n+1}}{F_n} \rightarrow \varphi \notin \mathbb{Q}$$

and we have a contradiction!

## Ratio between types of tiles

Now if there were periods in two different directions, then by counting how many tiles of each type there are in the parallelogram they span we would be able to compute the ratio between the number of blue triangles and red triangles.

It follows that

$$\frac{a_n}{b_n} \rightarrow c \in \mathbb{Q}.$$

But the ratio  $\frac{F_{n+1}}{F_n}$  tends to the golden ratio  $\varphi = \frac{1+\sqrt{5}}{2}$ , and so

$$\frac{a_n}{b_n} = \frac{F_{n+1}}{F_n} \rightarrow \varphi \notin \mathbb{Q}$$

and we have a contradiction!

Showing that in fact there are no periods at all is slightly more difficult, but it is definitely true!

Just ask Penrose!



Thanks!

